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# FACSIMILE TRANSMISS ON

# Total # of Pages 110 (including this page)

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From: Jason E. Pauls

Esmail Address : jpauls@ibles.com

Sender's Direct Dial: 414,297,5664

Date: March 24, 2005

Ollenn/Matter Mp : 065649-0219

Application No.: 10/632,805

# SERITEICATE OF FACSUMER TRANSMISSION 1 herein to the property being incoming the time United States Parteent and Temperate Office, Annual Property Office Control of States Property Office Control of States Office Control

### MESSAGE:

Title: SEPARATION APPARATUS Inventors: Majid Enterarian et al. Application Secial No.: 10/632,805

## Attached:

- Information, Displosure Statement (in duplicate) (12 pages)
- PTO Form SB/08 (2 pages) with copies of 9 references

If there are any problems with this transmission or if you have not received all of the pages, please call 414-237-4967.

	riginal To: E. Pauls
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Cover Page 1 of I

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Actor, 19st. No. 955540-0210

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Majid ENTEZARIAN et al.

Title:

SEPARATION APPARATUS

Appl. No.:

10/532,805

Filing

03/04/2003

Date:

Examiner

Hopkins, Robert A.

Art Unit:

1724



# INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR \$1.56

Mail Stop AMENDMENT Commissioner for Patents P.O. Box 1450 Alexandria, VA. 22513-1450

Sir:

Submitted herewith on Form PTO/SB/08 is a listing of documents known to Applicants in order to comply with Applicants' duty of disclosure pursuant to 37 CFR, §1.55.

A copy of each non-U.S. patent document and each non-patent document is being submitted to comply with the provisions of 37 CFR §1.97 and §1.95.

The submission of any document horowith, which is not a statutory bar, is not intended as an admission that such document constitutes prior an against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR § 1.56(b). Applicants do not waive any rights to take any action which would be appropriate to antendate or otherwise tensove as a competent reference any document which is determined to be a primal finite art reference against the claims of the present applications.

# TIMING OF THE DISCLOSURE

The listed documents are being submitted in compliance with 37 CFR §1.97(c), before the mailing date of either a final action under 37 CFR §1.113, a notice of allowance under 37 CFR §1.311, or an action that otherwise closes prosecution in the application.

# RELATED APPLICATIONS

The Examiner is hereby advised of the existence of the applications listed below which share at least some common disclosure with the above-identified patent application and/or which may serve as the basis of priority and/or otherwise relate to the above-identified patent application or one or more of the other patent applications listed below. For completeness, the above-identified application is also included in the list.

For each application, Applicant has also listed all substantive communications with the Patent Office and requests the Examiner to review the same as part of evaluating this patent application. These communications are available to the Examiner on the Patent Office's image file wrapper system. Therefore, copies of these communications are not enclosed. At the Examiner's request, Applicant would be happy to provide copies of any of these communications. Applicant notes that restriction requirements, preliminary amendments, etc. have not been listed although these may be easily accessed using the Patent Office's image file wrapper system. Also, the communications listed below are not listed on the Form PTO/SB/08 because in other situations they have been crossed off the SB/08 form to prevent them from being listed on the front of the patent even though they were considered by the Examiner. Applicant believes this is a more efficient way to bring these communications to the Examiner's attention. For completeness, communications in the above-identified application are also included in the list.

Identification No.	Filing Date	Title of Application
App. No.: 10/076,144	February 15, 2002	Filtration Media of Porous
Abandoned	1 columny 15, 2002	Inorganic Particles
PCT/US02/05753	February 28, 2002	Filtration Media of Porous
Int'l Pub. No.: WO 02/070105		Inorganic Particles
<ul> <li>International Search Report, m</li> </ul>		
provided with this Information	Disclosure Statemen	t as WO 02/070105 A3).
Patent No.: 6,814,783		Filtration Media of Porous
Patent App. Pub. No.: 2004/0011203	March 14, 2003	Inorganic Particles
App. No.: 10/363,849		Inorganie i dictores
- Non-Final Rejection, mailed or		
- Reply and Amendment, submit		
- Examiner Interview Summary	Report, mailed on Ap	oril 28, 2004.
Patent App. Pub. No.: 2005/0028498		
App. No.: 10/632,805	August 4, 2003	Separation Apparatus
Pending		
- Non-Final Rejection, mailed or	n February 7, 2005.	
Patent App. Pub. No.: 2004/0139858		Filtration Media of Porous
App. No.: 10/690,454	October 22, 2003	Inorganic Particles
Pending		morganic ratteres
App. No.: 10/699,573	October 31, 2003	High Capture Efficiency Baffle
Pending	00.0001 51, 2005	riight captaire Efficiency Barrie
Patent App. Pub. No.: 2005/0016376		
App. No.: 10/866,250	June 14, 2004	Filtration Media
Pending		
Patent App. Pub. No.: 2005/0002833		
App. No.: 10/894,032	July 20, 2004	Filtration Media
Pending	L	
- Non-Final Rejection, mailed or	n January 27, 2005.	
PCT/US2004/023377		
Int'l Pub. No.: WO 2005/017415	July 21, 2004	Separation Apparatus
Pending		
App. No.: 10/934,636	September 3, 2004	Filtration Media of Porous
Pending	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	Inorganic Particles
PCT/US2004/032311	October 19, 2004	High Capture Efficiency Baffle
Pending	***	
- International Search Report an		
pages) (a copy is provided with	n this Information Dis	sclosure Statement).

# RELEVANCE OF EACH DOCUMENT

An English abstract for DE 101 26 842 states: "In a kitchen air filtration process, grease and water are first removed followed first by removal of residual moisture in a drying process and then with removal of odors by adsorption. An Independent claim is included for a kitchen air filter hood with a vortex filter (1), a rib-mesh grease trap (2), drier (3) and odor (4) filters. The filters (1-4) are all integrated within the same filter insert. The vortex filter has a grease and water drain tube (5). The air drier filter structure comprises zeolite with higher water affinity than active carbon granules, or silica-gel, or slowly-dissolving inorganic salts, or a polymer. The drier salt crystals are held within a fine-pored polyurethane foam, and discharge through the vortex generator outlet to a trap (6)."

An English abstract for DE 101 27 678 states: "The air filter comprises a filter plate (1) with two or more parallel plate like filter elements (2, 3, 4) with a narrow flat interspace (9) inbetween and with water jets (15) directed into the interspace and associated with water receptacles such as inclined grooves (16) to create a water curtain (13) which covers more than half the flat area extension of the flat interspace. The distance between the water jets and the receptacles should be no more than 15 cm."

An English abstract for DE 102 08 474 states: "A filter system extracts particles and/or drops of liquid from air flowing through the filter system. The filter system has a filter layer disposed in a plane and a cyclone collector that is disposed in the edge region of the filter layer. The cyclone collector is provided with a device which, compared with the filter layer, creates a higher flow speed and stronger vortexing of the circulating air. The cyclone collector can be produced from horizontal cyclone elements, curved, horizontal elements or from expanded metal."

An English abstract for DE 197 05 508 states: "The new grid separates fluid and/or solid particles from a gas flow by inducing swirl. It comprises profiles with long edges overlapping to

form flow channels. In the regions of overlap, the gas flow is repeatedly deflected. Particles separate and are collected in an edge channel of each profiled section. This channel forms a dead space as regards flow. In this novel design, the grid comprises only one row of mutually adjacent sections (1). The cross-section of each is a double-U shape. The first (2) opens downstream, the second (4) upstream. The side wall (6) of the first U-section (2) is spaced away from the walls (9, 7) of the collection channel (8), both sections sharing a common intermediate wall (5). The sidewall (6) of the first section (2) and that (9) of the collection channel (8) end at the same height. The profile (1) is fastened by the upper and/or lower part of its U-shaped sections (12, 13) to a separator grid support frame."

From the Figures, DE 299 06 295 appears to be related to filters.

An English abstract for DE 40 16 582 states: "To improve the cleaning action in a device for separating fluids from a gas current, especially for oil mist, with two curved deflection surfaces facing each other on their concave sides with some lateral staggering, along which a current of air to be cleaned flows in succession, it is proposed that at least one of the deflection surfaces extends over an arc or more than 180 DEG and that the gas stream substantially tangentially entering the chamber formed by this deflection surface crosses the flow path of the entering gas current on leaving the chamber."

An English abstract for DE 44 27 074 states: "Two closely adjacent rows of spaced sections, having U-shaped cross sections, present open and closed surfaces to the air flow. The long edges of adjacent sections project into each other's interior, forming an alternating row. The long edges of the downstream row (2.1,2.2) are re-entrant in section, forming channels (5) by their inward curvatures. These are dead spaces for flow, and here the particles settle and drain away. The sections (6.1, 6.2, 6.3, 7.1, 7.2) are sheet metal. The sections (1.1, 1.2, 1.3, 2.1, 2.2, 6.1, 6.2, 6.3, 7.1, 7.2) are fastened by their ends to further U-section frames (8), with alternating tabs (9) at the sides. The tabs (9) are fastened to the interior sides of the sections (6.1, 6.2, 6.3, 7.1, 7.2)."

Aits: 19kt. No. 053640-0210

The International Search Report and Written Opinion listed on the Form \$8/08 are for International Application No. PCT/US2004/052311, which is listed on the table of related applications. The PCT/US2004/032311 application corresponds to U.S. Application No. 10/699,573 entitled "High Capture Efficiency Baffle."

Applicants respectfully request that each listed document be considered by the Examinor and be made of record in the present application and that an initiated copy of Form PTO/SB/08 be returned in accordance with MPEP \$609.

#### FEE

Please charge the amount of \$180.00 as specified in 37 CFR §§ 17 pt to Deposit Account No. 19-0741 for submission of an information disclosure statement under 37 CFR §1.97(e).

The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 CFR §§ 1.16-1.17, or credit any oversayment, to Deposit Account No. 19-0741.

Respectfully submitted,

FOLEY & LARDNER LLP

Customer Number: 22428 Telephone: (414) 297-5554 Facsimile:

(414) 297-4900

skitomany for Applicans Registration No. 45,651

Aug. Det. No. 055540-0210

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

i

Simpson, Carolyn

Applicant:

Majid ENTEZARIAN et al.

Title:

SEPARATION APPARATUS

Appl. No.:

10/532,805

Filing

08/04/2003

Date:

Examiner:

Hapkins, Robert A.

An Unit:

1724

# CRRTISIDATE OF FACSIBILE TRANSMISSION United Stores Patent and Trademork Office, Alexandria, Pirginia on the data below. Carolyn Simpson Separate Sep (Signahile) March 24, 2005

# INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR \$1.56

Mail Step AMENDMENT Commissioner for Patents P.Ot. Box 1450 Alexandria, VA. 22313-1450

Sir:

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The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior an against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR \$1.56(b). Applicants do not waive any rights to take any action which would be appropriate to antedate or otherwise tempte as a competent reference any document which is determined to be a prima facie art reference against the claims of the present application.

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Atty. Dkt. No. 065640-0210

# TIMING OF THE DISCLOSURE

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Abandoned	Teoluary 13, 2002	Inorganic Particles
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Int'l Pub. No.: WO 02/070105		Inorganic Particles
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- Non-Final Rejection, mailed or		
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- Examiner Interview Summary	Report, mailed on Ap	oni 28, 2004.
Patent App. Pub. No.: 2005/0028498	A	Samuelian America
App. No.: 10/632,805 Pending	August 4, 2003	Separation Apparatus
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- Non-Final Rejection, mailed of Patent App. Pub. No.: 2004/0139858	ii rediualy 7, 2005.	T
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Pending	October 22, 2003	Inorganic Particles
App. No.: 10/699,573		
Pending	October 31, 2003	High Capture Efficiency Baffle
Patent App. Pub. No.: 2005/0016376		
App. No.: 10/866,250	June 14, 2004	Filtration Media
Pending		
Patent App. Pub. No.: 2005/0002833		
App. No.: 10/894,032	July 20, 2004	Filtration Media
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PCT/US2004/023377		
Int'l Pub. No.: WO 2005/017415	July 21, 2004	Separation Apparatus
Pending		
App. No.: 10/934,636	September 3, 2004	Filtration Media of Porous
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Atty. 19kt. No. 053640-0210

The International Search Report and Written Opinion listed on the Form \$8/08 are for International Application No. PCT/US2004/032311, which is listed on the table of related applications. The PCT/US2004/032311 application corresponds to U.S. Application No. 10/699,573 entitled "High Capture Efficiency Baffle."

Applicants respectfully request that each listed document be considered by the Examinor and be made of record in the present application and that an initialed copy of Form PTO/SB/08 be returned in accordance with MPEP \$609.

#### FEE

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Respectfully submitted,

FOLEY & LARDNER LLP Customer Number: 22428 (414) 297-5554 Telephone:

(414) 297-4900 Facsimile:

Skitoraby for Aspolicans Registration No. 45,651 MODIFIED PTO/SB/08 (08-00)
Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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	Substitute for for	rm 1449B/	PTO		Complete if Known	
	INFORMATION	DISCLO	SURE	Application Number	10/632,805	
	STATEMENT B	Y APPLI	CANT	Filing Date	08/04/2003	
	Date Submitted:	March 24	2005	First Named Inventor	Majid ENTEZARIAN	
	Date Submitted.	March 24	, 2003	Group Art Unit	1724	
	(use as many shee	ets as ne	cessary)	Examiner Name	Hopkins, Robert A.	
Sheet	1	of	2	Attorney Docket Number	065640-0210	

				U.S. PATENT DOCUMENTS	3	
Examiner Initials*	Cite No.1	U.S. Patent Do	Kind Code <sup>2</sup> (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	A1	2004/0139858	A1	ENTEZARIAN ET AL.	07/22/2004	
	A2	2004/011203	A1	FITCH ET AL.	01/22/2004	
:	АЗ	6,833,022	A2	FEISTHEMMEL ET AL.	12/21/2004	
	A4	6,814,783	B2	FITCH ET AL.	11/09/2004	
	A5	6,627,088	B1	BREITENBACH ET AL.	09/30/2003	
	A6	6,344,074		WARD ET AL.	02/05/2002	
	A7	6,235,249		FU ET AL.	05/22/2001	
	A8	6,099,808		MILLER ET AL.	08/08/2000	
	A9	6,083,408		BREITENBACH ET AL.	07/04/2000	
	A10	6,050,208		KENNEDY	04/18/2000	·
ë	A11	5,964,927	İ	GRAHAM ET AL.	10/12/1999	
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	A13	5,671,726		HSU	09/30/1997	
	A14	5,669,947		DIACHUK	09/23/1997	
	A15	5,651,803		DIACHUK	07/29/1997	
	A16	5,637,124		DIACHUK	06/10/1997	
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	A18	5,320,088		NESTER	06/14/1994	
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1000	A20	5,288,298		ASTON	02/22/1994	
	A21	5,251,608		COTE	10/12/1993	
	A22	5,211,159		LIEBLEIN ET AL.	05/18/1993	
	A23	5,179,061		HAERLE	01/12/1993	
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	A25	5,002,040		MACFARLANE	03/26/1991	
	A26	4,969,936		SCHWEIGERT ET AL.	11/13/1990	
	A27	4,921,509		MACLIN	05/01/1990	
	A28	4,902,316		GILES, SR. ET AL.	02/20/1990	
	A29	4,830,644		GUTERMUTH	05/16/1989	
	A30	4,534,775		FRAZIER	08/13/1985	
	A31	4,321,768		ENGEHARDT	03/30/1982	
	A32	4,292,285		NAKAO ET AL.	09/29/1981	
	A33	4,235,200		SHAY	11/25/1980	
	A34	4,042,352		SHIGA ET AL.	08/16/1977	
	A35	3,785,124		GAYLORD	01/15/1974	
	A36	2,886,124		SCHARMER	05/12/1959	

# 002.1365877.1

Examiner Signature	Date Considered	
Signature	C	onsidered

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will very depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

<sup>&</sup>lt;sup>1</sup> Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the Emperor must precede the serial number of the patent document. <sup>3</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

MODIFIED PTO/SB/08 (08-00) Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

	Substitute fo	r form 1449B	/PTO	,	Complete if Known	
	INFORMATIO	ON DISCLO	SURE	Application Number	10/632,805	
	STATEMENT	r by appli	ICANT	Filing Date	08/04/2003	
	Date Submitte	d: March 2	4 2005	First Named Inventor	Majid ENTEZARIAN	
	Date Submitte	eu. Maiui Z	4, 2005	Group Art Unit	1724	
	(use as many s	heets as ne	ecessary)	Examiner Name	Hopkins, Robert A.	
Sheet	2	of	2	Attorney Docket Number	065640-0210	

		U.S. PATENT DO	CUMENTS	
	U.S. Patent Documen	nt		
A37	2,794,514	RISLEY	06/04/1957	
A38	2,641,331	HUDSON	06/09/1953	
A39	2,633,929	FARR	04/07/1953	
A40	2,621,755	GRAY, JR.	12/16/1952	
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